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PTO/SB/05 (08-03)

Approved for use through 07/31/2006, OMB 0651-0032

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## UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	11884/414001	36
First Inventor	Avaneesh DUBEY, et al.	223
Title	METHOD AND SYSTEM FOR ASSOCIATING A COLLATERAL AGREEMENT WITH A RECEIVA	BLE

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

1		<u>&amp;</u>
First Inventor	Avaneesh DUBEY, et al.	223
Title	METHOD AND SYSTEM FOR ASSOC COLLATERAL AGREEMENT WITH A	
Express Mail Label	No.	

APPLICATION ELEMENTS			Mail Stop Patent Application Commissioner for Patents P.O. Box 1450					
See MPEP chapter 600 concerning utility patent application contents.			Alexandria VA 22313-1450  7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a.  Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i.  CD-ROM or CD-R (2 copies); or  ii.  Paper  c.  Statements verifying identity of above copies  ACCOMPANYING APPLICATIONS PARTS  9.  Assignment Papers (cover sheet & document(s))  10.  37 C.F.R. 3.73(b) Statement Power of (when there is an assignee) Attorney  11.  English Translation Document (if applicable)  12.  Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  13.  Preliminary Amendment  14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No:  Prior application information: Examiner  For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
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Name (Print/Type) WILLIAM E. CURRY Registration No. (Attorney/Agent) 43,572								
Signature			My	Date	March 29, 2004			

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

SAP 2004P00039 US If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CCC TO A MOMITT A I	Complete if Known				
FEE TRANSMITTAL	Application Number	Unassigned			
for FY 2004	Filing Date	March 29, 2004			
	First Named Inventor	Avaneesh DUBEY, et al.			
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name	Unassigned			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit	Unassigned			
TOTAL AMOUNT OF PAYMENT (\$) 964.00	Attorney Docket No.	11884/414001			

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)						
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None			3. ADDITIONAL FEES							
Order  ☑ Deposit Account:			<u>Large</u> Fee	Entity Fee	Small E	<u>ntity</u> Fee				
Deposit					Code	(\$)	Code	(\$)	Fee Description	Fee Paid
Account	11-0600				1051	130	2051	65	Surcharge - late filing fee or oath	
Number						50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit			·		1053	130	1053	130	Non-English specification	
Account Name	Kenyon & Kenyo	nn.			1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is au	thorized to: (ch	eck all that appl	'y)		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
<ul> <li>☒ Charge fee(s) indicated below</li> <li>☒ Credit any overpayments</li> <li>☐ Charge any additional fee(s) during the pendency of this application</li> </ul>					1805	1,840	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) in			iling fee		1251	110	2251	55	Extension for reply within first month	
to the above-identi		LCULATION	<del></del>		1252	420	2252	210	Extension for reply within second month	
1. BASIC FI	LING FEE				1253	950	2253	475	Extension for reply within third month	
Large Entity S	Small Entity	ica Dagarintian			1254	1,480	2254	740	Extension for reply within fourth month	
	ee ree <u>r</u> Sode (\$)	ee Description	Fee Paid		1255	2,010	2255	1,005	Extension for reply within fifth month	
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		esign filing fee		7 1	1402	330	2402	165	Filing a brief in support of an appeal	
1		Plant filing fee		7	1403	290	2403	145	Request for oral hearing	
1004 770 2	004 385 F	Reissue filing fee			1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 F	Provisional filling f	ee	_	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL	(1)	(\$) 770.00	7 I	1453	1,330	2453	665	Petition to revive – unintentional	
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2. EXTRA CLA	IM FEES FOR				1502	480	2502	240	Design issue fee	
		Extra Fed Claims bel	e from Fee ow Paid		1503	640	2503	320	Plant issue fee	
Total Claims 26	20 **   = [		8.00 = 108.	7 l	1460	130	1460	130	Petitions to the Commissioner	
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Claims 4	-3 ** =	1 × _	= 86.	ͺͺͺͺͺ	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	ı Small Entity	×	= 0	╛	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Fee Code (\$)	Fee Descript	<u>ion</u>		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	n
1202 18	2202 9	Claims in exc	ess of 20		1810	770	2810	385	For each additional invention to be	
1201 86	2201 43	Independent of	claims in excess of 3						examined (37 CFR § 1.129(b))	
1203 290	2203 145	Multiple depe	ndent claim, if not pa	id	1801	770	2801	385	Request for Continued Examination (RCE	
1204 86	2204 43	** Reissue inc original paten	lependent claims ove t	er	1802	900	1802	900	Request for expedited examination of a design application	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent						1	: = .		or a dought application	
	รบ	BTOTAL (2)	(\$) 194.00			ee (spec ced by E	eity) Basic Filin	g Fee Pa	aid SUBTOTAL (3) (\$) 0	
**or number previously paid, if greater; For Reissues, see above						• •				
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SUBMITTED BY									Complete (ii applicable)	

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WILLIAM E. CURRY

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43,572

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